

Trustee Investment Plan



Intermediary Number

Plan Type
(As per the illustration)

R

Note:
Please complete in
BLOCK CAPITALS.

A General Information

Scheme Name

Trustee(s) Name

Country of
Establishment

Revenue Approval
Number

Pensions Board
Approval Number
Registered
Administrator

Consultant's Name

Consultant's
Email Address

Contact Number

Revenue Approval
Letter Attached

Yes No

B Reporting Details

Note:
These details apply
only to Trustee
Investment Plans
excluding Small Self
Administered Pensions,
Approved Retirement
Funds or Personal
Retirement Bonds.

Scheme Renewal
Date

Reporting Frequency Quarterly Yearly

Reporting Preference Email AND/OR Hard Copy

Email*

* Note: If you would like us to email investment reports, please supply email address.

AND/OR

Reporting
Address

Number of Copies

Note:

You can choose a maximum of up to ten funds for your investment.

Note:

In addition to Zurich Life's normal Annual Management Charge (AMC) there is an extra AMC applicable on some funds. Please refer to individual fund factsheets on zurich.ie for further information.

Note:

It is important that you clearly write the full fund name when making a selection to avoid any delay in processing your application.

C Investment Allocation

Please indicate below the allocation of the contribution between available funds.

Units are bought at the ruling price on a date not later than three working days following receipt of the single premium and the completed application form.

Fund Name	Single Contribution
Prisma 2	%
Prisma 3	%
Prisma 4	%
Prisma 5	%
Prisma Max	%
Cautiously Managed	%
Balanced	%
Performance	%
Dynamic	%
Cash	%
Active Fixed Income	%
Active Asset Allocation	%
International Equity	%
5 ★ 5 Global	%
Other Funds - please see the 'Fund Guide' on zurich.ie for a full list of available funds.	
	%
	%
	%
	%
	%
Total	100%

D Authorisation

Please complete either: **Section (i) - Corporate Authorisation, or**
Section (ii) - Individual Authorisation:

- (i) Please give the full name(s) of the **Consultancy Firm/Trustee Company** empowered until further notice to sign and act on behalf of all the Trustees in respect of switching and withdrawal requests. Please attach a separate list of authorised signatories in the firm or company, if necessary. *Any two authorised signatories are required, unless otherwise advised.*

Name of
Consultancy Firm/
Trustee Company
and Company
Address

Country of
Incorporation
(if applicable)

Signature

X

Signature

X

OR

- (ii) Please give the full name(s) of the **individual(s) or individual(s) and Trustee Company** empowered until further notice to sign and act on behalf of the Trustees in respect of switching and withdrawal requests. *Any two authorised signatories are required, unless otherwise advised.*

1. Full Name

Address

Country of
Residence

Nationality

Signature

X

2. Full Name

Address

Country of
Residence

Nationality

Signature

X

3. Trustee

Company
Name and
Address

Country of
Incorporation
(if applicable)


Signature

X

 Signatory for
and on behalf of
Consultancy Firm/
Trustee Company

 Individual
Signatory

 Individual
Signatory

 Signatory for and on
behalf of Trustee
Company

E Declaration

Part A

We, the Trustees of the Scheme

- request Zurich Life Assurance plc (hereinafter known as Zurich Life), to issue the policy in our name(s);
- agree that this application form, the policy and additional statements and information or declarations made in connection with this application will form the basis of the contract with Zurich Life;
- confirm that we are acting within the powers of the Trust Deed and Rules of the Scheme;
- confirm that the Scheme is exempt approved by the Irish Revenue and that we shall notify Zurich Life immediately if exempt approval is withdrawn;
- confirm that the authorisation of the Trustee(s)/Authorised Signatory(ies) referred to in Section D was agreed by all the Trustees and we agree to notify Zurich Life as soon as any change to the authorisation occurs;
- confirm we have been provided with the relevant Fund Guide which contains information on the funds available and acknowledge that Zurich Life may from time to time alter the fund choices available by changing the nature of the existing funds, creating new funds or closing existing funds.
- confirm that the authorisation is for administrative convenience and that any decision in respect of switching and withdrawal requests and requests to pay additional contributions has been made by all the Trustees.
- understand that we have a duty to answer all questions asked by Zurich Life in this application for a contract honestly and with reasonable care and failure to comply with these requirements could result in our contract being invalidated or our contract benefits being reduced. We declare that all questions and statements in the application this contract are answered honestly and with reasonable care (including any statements at our dictation).

Note:

Zurich Life's remedies in the event of misrepresentation are set out in the Consumer Insurance Contracts Act 2019.

Part B

(i) Data Protection Notice

Zurich Life ('Zurich Life', 'we', 'our') is a member of Zurich Insurance Group ('the Group'). Zurich Life is the data controller for this contract under data protection legislation. Our Data Protection Notice ('Notice') for this product is detailed at the end of this form. Please read this carefully.

By signing this form the Trustee(s) confirm(s) that I/they have read and understood the Data Protection Notice.

(ii) Marketing Preferences

From time to time, we would like to contact you to keep you up to date with news and offers from Zurich Life and those of the Zurich Group or third parties that we recommend. If you are happy for us to do this, please choose how you would like us to contact you.

For news, updates and offers from Zurich Life by:

Post Email Phone Text/Digital message

For news, updates and offers from the Zurich Group or third parties by:

Post Email Phone Text/Digital message

If at any time you would like to change your preferences or remove your permission, all you need to do is contact us by phone on 01 799 2711, by email at customerservices@zurich.com, or by writing to Customer Services, Zurich Life Assurance plc, Zurich House, Frascati Road, Blackrock, FREEPOST, Co. Dublin.

If the policy was sold, signed or completed outside Ireland, insert the name of the country where it was sold, signed or completed.

I/We confirm that the scheme members will be the beneficial owners of this policy.

 **Signature of Trustee(s)**

Please sign and date.

Note:

If the Trustee is an individual - Full Name.
If the Trustee is a corporation - Corporate Name.

Signature

X

Date

Signatures of all the Trustee(s)

Full Name /
Corporate Name

Signature

X

Date

Full Name /
Corporate Name

Signature

X

Date

Continued overleaf

E**Declaration (continued)****Note:**

If the Trustee is an individual - Full Name.
If the Trustee is a corporation - Corporate Name.

Full Name /
Corporate Name

Signature

X

Date

Full Name /
Corporate Name

Signature

X

Date

Full Name /
Corporate Name

Signature

X

Date

Full Name /
Corporate Name

Signature

X

Date

Full Name /
Corporate Name

Signature

X

Date

Full Name /
Corporate Name

Signature

X

Date

F**Notes**

Please note:

1. This application will not be effective until received by Zurich Life Assurance plc, Zurich House, Frascati Road, Blackrock, Co. Dublin.
2. Details of the investment terms and conditions are set out in the policy.
3. A Unit Allocation Statement will be issued showing the number of units allocated to each fund.
4. Unless advised to the contrary, we will deem all instructions in respect of the payment of additional contributions and fund allocations made by the Consultants, named in Section A, to be instructions from the Trustees.

Data Protection Notice

About this Notice

Everyone has rights with regard to the way in which their personal data is handled. During the course of our activities we will collect, store and process personal data about you. The purpose of this Notice is to set out some information on the collection and processing of your personal data. Further information can be obtained in our Privacy Policy which is available at www.zurich.ie/privacy-policy.

The Data we collect

We collect the following personal data ('Data') from you (unless you are a member of a group scheme, in which case we may collect the Data from your employer or the trustee of the scheme):

- **Contact and identifying information** such as title, name, address, email, telephone number, gender, marital status, date of birth, occupation, PPS number, nationality, country of residence and photographic identification. We require this Data to identify you, contact you, conduct a suitability assessment (in the event of a sale via a financial advisor employed by or tied to Zurich Life), to fulfil our contract with you and to comply with legal obligations (e.g. performance of anti-money laundering checks). For investment products we also collect your US citizen status and your Tax Identification Numbers from other countries (if applicable) which we require to comply with Revenue law. If you are a member of a group scheme, we may also collect your employer's details.
- **Financial information** such as bank details, credit/debit card details (where needed) and income details (where applicable). We require this Data so we can assess the premium to be paid, to fulfil our contract with you and to comply with legal obligations.
- **Medical condition and health status** for protection products and some pension and investment products which also offer life and serious illness benefits, we collect medical information relating to: personal habits (e.g. smoking or consumption of alcohol), prescription information and medical history. For pension products we may collect disability information (e.g. if you apply for an early retirement due to ill health). We require this Data so that we can fulfil our contract with you.
- **Other sensitive information** - in certain cases, we may receive sensitive information from which it may be possible to infer your trade union membership, religious or political beliefs (e.g. if you are a member of a group scheme through a professional, trade, religious, community or political organisation). In addition, we may obtain information about your criminal record or civil litigation history in the process of preventing, detecting and investigating fraud. We may obtain your PEP (politically exposed person) status, which is necessary for compliance with anti-money laundering legislation.

Data collected from third parties

We may collect Data from third parties if you engage with us through a third party e.g. through a financial broker/advisor or, in the case of a group scheme, through your employer. We do this in order to fulfil our contract and provide services to you. We may also obtain Data from third parties so that we can assess a claim.

What do we do with your Data?

We collect and process this Data to manage and administer our relationship with you. We may use, process and store the Data, for the following purposes:

- Risk evaluation, product suitability, policy execution, premium setting, premium collection, claims assessment, claims processing, claims payment, to provide annual statements, to create trustee annual reports (in the context of group schemes), for statistical evaluation, for survey purposes or to otherwise ensure the Group service delivery. Zurich Life or other members of the Group may contact you in connection with these purposes. We do this in order to provide you with the services for which you have contracted with us.
- We may check the Data you have provided against international/economic or financial sanctions laws or regulated listings to comply with legal obligations (e.g. anti-fraud and anti-money laundering requirements) or otherwise to protect our legitimate interests and/or the legitimate interests of others.

Sharing of Data

In order to provide a seamless service, we may share your Data (where appropriate):

- With other companies in the Group such as branches, subsidiaries, affiliates within the Group, partners of the Group, coinsurance and reinsurance companies located in Ireland and abroad, including outside the European Economic Area ('EEA').
- If you apply for, or purchase, one of our products through a financial broker/advisor or another third party (e.g. your employer if you are a member of a group scheme), we will, as appropriate, correspond with that third party in relation to your products: this may result in us sharing your Data with that third party.
- Without your consent or without consulting you, when we believe that it is appropriate to comply with our legal obligations, a Court Order or to cooperate with State bodies (e.g. Revenue, the Central Bank, The Pensions Authority and law enforcement agencies).
- On the sale, transfer or reorganisation of our or our Group's business (or any part of it).
- With business partners, suppliers and sub-contractors with whom we work and/or engage (e.g. auditors, cloud service providers, medical professionals, third-party claim administrators and outsourced service providers) to assist us in carrying out business activities which are in our legitimate business interest and where such interests are not overridden by your interests.
- In order to enforce this Notice or other legal rights, to protect the security and safety of others, and to prevent fraud.

For further information with respect to the third parties that we may share Data with, please see our Privacy Policy which is available at www.zurich.ie/privacy-policy.

Continued overleaf

Data Protection Notice (continued)

Where transfers of Data take place outside the European Economic Area ("EEA"), we ensure that they are undertaken lawfully and in accordance with appropriate safeguards. Data may be transferred to, and stored outside the European Union ("EU") or EEA and in a country for which there is no adequacy decision relating to the safeguards for Personal Data from the European Commission. In such instances, appropriate safeguards are put in place to protect your Data. For further information with respect to the non-EU or non-EEA countries to which your Data may be transferred and for which there is no adequacy decision relating to the safeguards for Personal Data from the European Commission or for a copy of the safeguards put in place to protect your Data, please see our Privacy Policy which is available at www.zurich.ie/privacy-policy.

If you have any questions about your Data, you can contact our Data Protection Officer, free of charge, using the contact details below.

Marketing

Depending on the marketing preferences you have expressed in any application forms for our products or services, we may send you details of offers and news that we would like to share with you. Please note that you have the right to change your preferences at any time by contacting us by phone on 01 799 2711, by email at customerservices@zurich.com, or by writing to Customer Services, Zurich Life Assurance plc, Zurich House, Frascati Road, Blackrock, FREEPOST, Co. Dublin.

Data Retention

The time periods for which we retain your Data depend on the purposes for which we use it. We will keep your Data for no longer than is required or permitted. For more detail, see our Data Retention Policy at www.zurich.ie/privacy-policy.

Data Subject Rights

You have the following rights in relation to your Data which is held by Zurich Life:

1. To ask for details of your Data held by us.
2. To ask for a copy of your Data.
3. To have any inaccurate or misleading Data rectified.
4. To have your Data erased.
5. To restrict the processing of your Data in certain circumstances.
6. To object to the processing of your Data.
7. To transfer your Data to a third party.
8. A right not to be subject to automated decision making.
9. The right to receive notification of a Data breach.
10. Where processing is based on consent, the right to withdraw such consent.
11. The right to lodge a complaint to the Data Protection Commission.

If you wish to avail of these rights, a request must be submitted in writing to our Data Protection Officer. In order to protect your privacy, you may be asked to provide suitable proof of identification before we can process your request.

Our Data Protection Officer is contactable by phone, email, or post via:

- Zurich Life Customer Services on 01 799 2711
- dataprotectionofficer@zurich.ie
- Data Protection Officer, Zurich Life, Zurich House, Frascati Road, Blackrock, FREEPOST, Co. Dublin.

Privacy Policy

Please note that this Notice is not a stand-alone document and should be reviewed in conjunction with our Privacy Policy which is available at www.zurich.ie/privacy-policy.

Zurich Life Assurance plc

Zurich House, Frascati Road, Blackrock, Co. Dublin, A94 X9Y3, Ireland.

Telephone: 01 283 1301 Fax: 01 283 1578 Website: www.zurich.ie

Zurich Life Assurance plc is regulated by the Central Bank of Ireland.

The information contained herein is based on Zurich Life's understanding of current Revenue practice as at September 2021 and may change in the future.

Intended for distribution within the Republic of Ireland.

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