

# Compliance

## Meet the Team



### What is Compliance?

Zurich Life is committed to complying with all applicable regulatory and legal requirements, professional/industry standards and corporate values.

### What can you expect from the Compliance Department?

#### Guidance

It is our job to issue you with guidance on the Zurich Life requirements for submission of your clients' applications for Zurich Life products. The Compliance Department within Zurich Life aims to work to the highest standards and be professional in our approach.

### Have you a compliance query?

If you have a compliance query you may talk directly to a member of our Compliance team on **01 209 2015**. Our Compliance team are always eager to help and make life easy for you!

You can also email **[life.compliance@zurich.com](mailto:life.compliance@zurich.com)**. As part of our service commitment to Brokers, a member of the Compliance team will reply to your compliance query within two working days.

#### The Team:

Sean O'Hurley  
Compliance Officer

Michelle Brett  
Compliance Advisor

Christina Bisdra  
Compliance Advisor

Debbie Anderson  
Assistant Manager

Ita Lennon  
Compliance Advisor

Ronan Staunton  
Compliance Assistant

Catherine Maher  
Compliance Advisor

Ann Marie Fleming  
Compliance Advisor

John Callagy  
Compliance Assistant

## Information at your fingertips

Don't forget that we have lots of useful compliance information available online on our Broker Centre at **[www.zurichlife.ie](http://www.zurichlife.ie)** such as:

- Anti-Money Laundering
- Complying with the Consumer Protection Code
- Information on Minimum Competency Requirements
- Sales Compliance Steps
- Guidelines on advertising procedures
- Guidelines on complaints procedures
- Sample documents including:
  - Sample Terms of Business letter
  - Sample Factfind
  - Sample Client file checklist

If you would like to avail of the online Compliance section but have not already registered for the Broker Centre, simply complete a Broker Centre Access Form (available through your Broker Consultant or your dedicated Service Team) and return to your Service Team.

**Sean O'Hurley**

Compliance Officer

### Zurich Life Assurance plc

Zurich House, Frascati Road, Blackrock, Co. Dublin, Ireland.

Telephone: 01 283 1301 Fax: 01 283 1578 Website: [www.zurichlife.ie](http://www.zurichlife.ie)

Zurich Life Assurance plc is regulated by the Central Bank of Ireland.

---

Intended for distribution within the Republic of Ireland.

GR: 2342 Print Ref: ZL CSA 89 0218

